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# Projections for Austin's COVID-19 Staged Alert System, Incorporating Reported Cases as Additional Indicator

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#### Overview

To support public health decision-making in Austin, Texas, we use a data-driven model of COVID-19 transmission in the five-county Austin–Round Rock Metropolitan Statistical Area (MSA) to project hospitalizations under plausible scenarios for future COVID-19 transmission. This model integrates Austin's COVID-19 staged-alert system, which informs the city's adaptive risk-based guidelines. Given the emergence of SARS-CoV-2 variants and the ongoing roll-out of vaccines, the existing threshold for triggering the return from the second lowest alert stage (Stage 2) to the lowest alert stage (Stage 1) may be insufficient to prevent surges, as described in our recent report [1]. To address this concern, we evaluate the addition of new criteria for reducing the alert stage, based on a CDC framework for estimating levels of community transmission. Specifically, we consider tracking the number of new cases reported over the preceding seven days, and requiring that this value: (i) drops below 10 per 100,000 before relaxing from Stage 3 to Stage 2 and (ii) drops below 5 per 100,000 before relaxing to Stage 1.

The projections we present consider several scenarios for the future transmission of the Delta variant and the emergence of other variants of concern. We assume that Delta is 1.65 times more transmissible than previous variants, has a higher hospitalization rate among symptomatic individuals, has a shorter incubation period, and leads to longer ICU stays. The hypothesized variants of concern are identical to Delta, except that they are instead 2.0 and 2.5 times more transmissible than pre-Delta variants. The results presented here are based on multiple assumptions about the transmission rate, age-specific severity of COVID-19, efficacy of vaccines, waning immunity following infection or vaccination, and uptake of an initial two-dose vaccination as well as boosters. They do not represent the full range of uncertainty that the City of Austin may encounter.

Our projections suggest that the current hospitalization threshold for transitioning from Stage 2 (blue) to Stage 1 (green) may fail to guard against future variants of concern, and that adding the proposed community transmission criteria for changing stages would substantially reduce the risk of surges that exceed healthcare capacity. We are posting these results prior to peer review to provide intuition for both policy-makers and the public regarding the near-term threat of COVID-19.

#### Additional Alert Stage Indicator - CDC Level of Community Transmission

The City of Austin uses a five-stage color-coded COVID-19 alert system. Each stage corresponds to a specific combination of social distancing measures and business restrictions [2]. Changes in the alert stage have been triggered based on the rolling seven-day average of COVID-19 hospital admissions across all area healthcare systems. In a prior analysis [1], we projected that the city may become vulnerable to rapid surges in COVID-19 hospitalizations if and when it relaxes to Stage 1, under the current set of thresholds. In this analysis, we consider adding a second set of criteria for relaxing to Stages 1 and 2 to prevent future surges.

The CDC provides data and guidance for tracking county-level risks of *community transmission* to provide risk awareness and guide local mitigation measures [3]. We assume the CDC's four levels of transmission (low, moderate, substantial, and high) roughly correspond to Austin's alert stages 2-5, and focus on the recommended thresholds dividing moderate transmission from low transmission. Specifically, the CDC suggests that community transmission is low once: (i) the number of reported cases during the past seven days drops below 10 per 100,000 people and (ii) the percent of nucleic acid amplification tests (NAATs) that are positive during the past seven days drops below 5%. Based on this, we consider adding two criteria to Austin's staged alert system:

- Stage 3 to Stage 2: Reported cases over prior seven days below 10 per 100,000
- Stage 2 to Stage 1: Reported cases over prior seven days below 5 per 100,000

Staged Alert System Indicators	Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
Seven-day average of hospital admissions	< 5	5-14.99	15-29.99	30-49.99	$\geq 50$
Seven-day sum of confirmed cases per 100,000	< 5	5-9.99	-	-	-

Table 1 summarizes the updated indicators in the Austin's staged alert system.

Table 1: Color-coded staged alert system indicators and corresponding thresholds. Changes in the color-coded alert stage have been triggered based on the rolling seven-day average of COVID-19 hospital admissions. The second set of thresholds, the seven-day sum of confirmed cases per 100,000, is only used in transitioning from Stage 3 to Stage 2 and from Stage 2 to Stage 1.

Figure 1 shows historical data on the seven-day sum of reported COVID-19 cases per 100,000 people (red points) in the five-county Austin MSA [3] and the model's prediction of the seven-day sum of new *symptomatic* cases per 100,000 people (300 cyan sample paths). The discrepancy between the number of new symptomatic cases and the number of reported cases is expected, given that many cases never seeking testing, particularly if they experience mild infections or have limited access to healthcare services. Those who seek testing often do so several days after they initially develop symptoms. The case detection rate seems to be higher in the aftermath of a surge. (The data anomaly in February of 2021 was likely caused by the severe Texas freeze that brought COVID-19 testing and reporting in Austin to a standstill.) This may suggest that case counts can provide a reasonable additional signal of declining risk as a wave ebbs.

#### **Projections under Delta**

Austin experienced a third wave of the COVID-19 pandemic following the emergence of the highly transmissible Delta variant. Given the unique characteristics of Delta, the ongoing vaccine and booster roll-out, and our evolving understanding of vaccine-acquired and infection-acquired immunity, we made a series of projections to test and, if necessary, update the existing alert system thresholds, which trigger changes in the alert stage.

Figure 2 projects COVID-19 hospital admissions and ICU census under Austin's staged-alert system, using three different sets of thresholds. From top to bottom, we begin with the current system and add criteria that further limit the transitions between stages. The top pair of graphs use the current thresholds: seven-day moving averages of 5, 15, 30, and 50 daily COVID-19 admissions to trigger changes in green-blue, blue-yellow, yellow-orange, and orange-red



Figure 1: Reported COVID-19 cases compared to projected new symptomatic cases in the Austin-Round Rock MSA through February 2022, both given as seven-day totals per 100,000 people. The red points represent reported cases [3], the light cyan curves indicate 300 stochastic simulations, and the solid cyan line illustrates a representative projection. The background colors correspond to CDC's definition of low (blue), moderate (yellow), substantial (orange) and high (red) levels of community transmission, with corresponding thresholds of 10, 50 and 100. The model projections assume that the Delta variant emerged in mid 2021 and that the transmission rate has been governed by Austin's staged alert system (with hospital admissions triggers) rather than the CDC thresholds.

alert stages, respectively. The middle pair of graphs assume the same thresholds as the top graphs, except they relax from the blue to the green stage when: (i) the seven-day moving average of hospital admissions falls below 5, *and* (ii) the seven-day sum of new symptomatic cases per 100,000 people falls below 5. The bottom pair of graphs assume identical thresholds as the middle pair, except they transition from yellow to blue if: (i) the seven-day moving average of hospital admission falls below 15, *and* (ii) the seven-day sum of new symptomatic cases per 100,000 people falls below 10, 000 people falls below 10. These two new thresholds are only used as specified and do not guide transitions in the other direction.

Under the current thresholds (top pair in the figure), 21% of the projections transition from blue to green before December 31, 2021. In all of those projections, the shift from blue to green is followed by a rapid surge that could threaten ICU capacity. Under the alternative set of thresholds, which tighten the requirements for relaxing to Stages 1 and 2 based on reported case counts, such spikes do not occur.

#### **Projections under Hypothesized Variants of Concern**

To test the robustness of the alert system to future threats, we simulate the emergence of novel variants of concern that are more transmissible than the Delta variant. We estimate that Delta is 1.65 times more transmissible than pre-Delta variants, and causes 80% more hospitalizations among symptomatic individuals. Figures 3 and 4 repeat the analysis of the previous section for hypothesized variants that have the same hospitalization rate as Delta but are 2.0 and 2.5 times more transmissible than pre-Delta variants, respectively. The projections suggest that the current thresholds may leave Austin vulnerable to rapid resurgences in hospitalizations following transitions from blue to green. As with projections under the Delta variant, adding the CDC community transmission thresholds to the existing hospitalization thresholds for transitioning to Stages 1 and 2 would be expected to prevent such surges.



Figure 2: Projected COVID-19 hospital admissions (left) and COVID-19 ICU patient census (right) in the Austin-Round Rock MSA through February 2022 under the Delta variant. *Top row:* The projections assume only hospitalization-based thresholds are used in Austin's staged-alert system (a seven-day moving average of 5, 15, 30, and 50 daily COVID-19 admissions trigger changes in the green-blue, blue-yellow, yellow-orange, and orange-red stages, respectively). The red points represent historical data, the black horizontal line represents ICU capacity (200 beds), the light curves indicate stochastic simulations (300 per graph), and solid lines illustrate a representative projection. Note the potential surges in admissions and ICU census in November and December. These occur shortly after transitions from blue (Stage 2) to green (Stage 1). The colors in the right-hand plot show the proportion of sample paths that are in each stage at each point in time, including those in green (Stage 1) during November. *Middle row:* The plots are identical to those in the top row except that a second criteria has been added for transitioning from blue to green: the seven-day total new symptomatic cases should be below 5 per 100,000 people to transition from blue to green. None of the 300 projections under this policy resulted in November-December spikes. *Bottom row:* The plots are identical to those in the middle row except that the seven-day total new symptomatic cases spould be below 10 to transition from yellow to blue. All of the 300 projections show a decline in the hospitalizations under this policy.



Figure 3: Projected COVID-19 hospital admissions (left) and COVID-19 ICU patient census (right) in the Austin-Round Rock MSA through February 2022, with a hypothesized variant that is twice as transmissible as pre-Delta variants (roughly 20% more transmissible than Delta). *Top row:* The projections assume only hospitalization-based thresholds are used in Austin's staged-alert system, i.e., a seven-day moving average of 5, 15, 30, and 50 daily COVID-19 admissions trigger changes in the green-blue, blue-yellow, yellow-orange, and orange-red stages, respectively. The red points represent historical data, the black horizontal line represents ICU capacity (200 beds), the light curves indicate stochastic simulations (300 per graph), and solid lines illustrate a representative projection. Note the potential surges in admissions and ICU census, particularly in January and February. These occur shortly after transitions from blue (Stage 2) to green (Stage 1) and when the prevalence of the hypothesized variant dominates by early January. The colors in the right-hand plot show the proportion of sample paths that are in each stage at each point in time, including those in green (Stage 1) in November–January. *Middle row:* The plots are identical to those in the top row except that a second criteria has been added for transitioning from blue to green: the seven-day total new symptomatic cases should be below 5 per 100,000 people to transition from blue to green. None of the 300 projections under this policy resulted in January-February spikes, although we see a steady rise from mid-December under Stage 2. *Bottom row:* The plots are identical to those in the seven-day total new symptomatic cases per 100,000 people should be below 10 to transition from yellow to blue.



Figure 4: Projected COVID-19 hospital admissions (left) and COVID-19 ICU patient census (right) in the Austin-Round Rock MSA through February 2022, with a hypothesized variant that is 2.5 times as transmissible as pre-Delta variants (roughly 50% more transmissible than Delta). *Top row:* The projections assume only hospitalization-based thresholds are used in Austin's staged-alert system, i.e., a seven-day moving average of 5, 15, 30, and 50 daily COVID-19 admissions trigger changes in the green-blue, blue-yellow, yellow-orange, and orange-red stages, respectively. The red points represent historical data, the black horizontal line represents ICU capacity (200 beds), the light curves indicate stochastic simulations (300 per graph), and solid lines illustrate a representative projection. Note the potential surges in admissions and ICU census in January and February. These occur shortly after transitions from blue (Stage 2) to green (Stage 1) and when the prevalence of the hypothesized variant dominates by early January. The colors in the right-hand plot show the proportion of sample paths that are in each stage at each point in time, including those in green (Stage 1) in November and December. *Middle row:* The plots are identical to those in the top row except that a second criteria has been added for transitioning from blue to green: the seven-day total new symptomatic cases should be below 5 per 100,000 people to transition from blue to green. We see a concerning rise from mid-December under Stage 2, necessitating a return to Stages 3 and 4 in January under most projected paths. *Bottom row:* The plots are identical to those in the middle row except that the seven-day total new symptomatic cases per 100,000 people should be below 10 to transition from yellow to blue. Growth due to the more transmissible variant is delayed and not as sharp.

# Appendix

Appendix A describes how we used Texas Department of State Health Services (DSHS) data on the vaccine roll-out to estimate how many people in each age-risk group are vaccinated in our analysis. Appendix B details the update in our model due to the emergence of the Delta variant. Appendix C describes the enhanced SEIR model we used in our analysis. Appendix D details parameters used in the model along with methods for estimating or selecting those parameters.

#### A Vaccine and Booster Allocation

We assume that vaccinations reduce susceptibility to infection, and reduce the severity of outcomes among those infected. Our SEIR model has four "layers" that include individuals who are: (i) unvaccinated, (ii) partially vaccinated, (iii) fully vaccinated or have received a booster, and (iv) vaccinated with waned efficacy after 250 days. Prior to the Delta variant, we assume reductions in susceptibility of 70% for groups (ii) and (iv) and 90% for group (iii), while assuming 95% reduction in severe outcomes for group (ii), (iii), and (iv). Under the Delta variant, we assume reductions in susceptibility of 62%, 70%, and 40% for groups (ii), (iii), and (iv), and respective reductions in severe outcomes of 85%, 95%, and 80% for the same three groups.

We model daily vaccination efforts starting on January 10, 2021, but we account for earlier vaccinations, effective on January 10th. We use DSHS data to estimate how vaccines were allocated across multiple age-risk groups from January 10 to October 25, 2021. In particular, we use data regarding the *first-dose vaccine administration* from DSHS [4] to estimate the number of vaccinated individuals for each age and risk group, across ten such groups: ages 0-4 years-old, 5-17 yo, 18-49 yo, 50-64 yo, and 65 years and older, each with low risk and high risk for severe COVID-19 outcomes. After October 25, 2021 we assume vaccinations continue at the same rate of October 11–25, 2021 until February 10, 2021 or until an assumed uptake rate is achieved.

We assume that every individual who receives a first dose of the vaccine, receives a second dose 21 days later. We assume 97% of the over-65-years-old population are vaccinated. Among 18-64 yo age groups, the vaccine uptake is 95% for high-risk groups and 85% for low-risk groups. For 12-17 yo age groups, the vaccine uptake is 95% for the high-risk group and 47% for low-risk group. We assume vaccines are not allocated to those under 12 years old. The booster schedule mimics the original vaccination schedule, 250 days after the second shot. We assume that booster uptake is 70% percent of the original schedule, i.e., 70% of those receiving initial vaccines receive boosters and 30% remain in the state of waned efficacy. We also conducted a sensitivity analysis on the booster uptake but only summarize those results here as follows: Even though higher booster uptake enable slightly relaxed thresholds, results are very similar for different booster uptake levels. In the rest of this section we provide further details on how we estimate "who was vaccinated when" based on DSHS data.

The COVID-19 vaccination effort in states across the US used a phased roll-out. In Phase 1a, health-care providers and residents of long-term care facilities (LTCF) received vaccines. To account for these vaccinations in our analysis, we assume that healthcare providers are only in age groups 18-49 yo and 50-64 yo and follow a demographic structure like the rest of Austin. We assume LTCF residents are in age group 65 years and older, and the proportion of LTCF residents with high-risk conditions is the same as Austin's overall high-risk proportions in that age group.

Under the Phase 1b vaccine allocation policy in Texas, those 65 years and older and those 18-64 years old with high risk were prioritized. From DSHS data we have for each week how many individuals 65 years and older received a first-dose vaccine. We assume pro rata allocation among high and low risk individuals over 65 years old. We take into account low risk 16-64 age groups and later, the 12-15 yo low-risk age group as vaccine eligibility expanded to younger age groups. We assume vaccines are administrated pro rata among low and high risk groups after eligibility expanded.

Important dates for vaccinations are listed below:

- January 10, 2021: The initial day of vaccination in the model.
- March 15, 2021: Texas expanded eligibility to 50-64 years of age.
- March 29, 2021: Texas opened up vaccination to all individuals 16 year and older.
- May 12, 2021: Adolescents from 12 to 15 years old became eligible for vaccinations.
- September 24, 2021: The booster doses became available for individuals with Pfizer-Biontech vaccines.
- October 21, 2021: The booster doses became available for individuals with Moderna and J&J vaccines.

#### **B** Assumptions on the Delta and Hypothesized Variant

The SARS-CoV-2 Delta variant rapidly became the dominant variant in the USA after its introduction. We use an "S-shaped" logistic curve to capture the growth of Delta among new infections in Austin according to genetic sequencing data for Texas [5]. Figure 5 shows the prevalence of Delta variant in Texas. Delta became the dominant variant by July 20, 2021. We assume that the Delta variant is 65% more transmissible [6], the incubation period is shorter [7], and rate of hospitalization is 80% higher [8] compared to earlier dominant virus variants. We note that our projections are relatively insensitive to the specific assumption regarding a 65% increase in transmissibility because we estimate the time-varying reduction in transmission due to the community's actions.

We also assume that Delta variant decreased the vaccine efficacy against infection from 90% to 70%. However, we assume that vaccines are still highly efficacious against severe infection.



Figure 5: The Prevalence of Delta Variant in Texas between April and September, 2021. The red points shows the actual Delta prevalence from genetic sequencing data. The blue line shows the logistic curve fit to the sequencing data. In July 2021, half of the cases were linked to Delta variant.

We assume the same characteristics as the Delta variant for the hypothesized variant, except for being 2 times or 2.5 times more transmissible than the pre-Delta variants. We assume that the hypothesized variant starts circulating in mid-November and becomes the dominant strain by mid-January following an S-shaped logistic prevalence curve similar to the Delta curve of Figure 5.

## C Epidemiological Model Overview



Figure 6: Compartmental model of COVID-19 transmission in the Austin MSA. Each subgroup is defined by age and risk as well as vaccine status (unvaccinated, partially vaccinated, fully vaccinated and vaccinated but efficacy waned), and is modeled with a separate set of compartments. Upon infection, susceptible individuals (S) progress to exposed (E) and then to either pre-symptomatic infectious ( $P^Y$ ) or pre-asymptomatic infectious ( $P^A$ ) from which they move to symptomatic infectious ( $I^Y$ ) and asymptomatic infectious ( $I^A$ ), respectively. All asymptomatic cases eventually progress to a recovered state, where they are assumed to remain protected from future infection (R); symptomatic cases are either hospitalized ( $I^H$ ), recover or deceased. Mortality (D) varies by age group and risk group.

#### Notation:

#### Indices and Sets

$t \in \mathcal{T}$	set of time periods $\{1, 2, \dots,  \mathcal{T} \}$ [day]
$t \in \mathcal{T}_0$	$\mathcal{T} \cup \{0\}$
$a \in \mathcal{A}$	set of age groups {0-4y, 5-17y, 18-49y, 50-64y, 65y+}
$v \in \mathcal{V}$	set of vaccination status {1 (unvaccinated), 2 (partially vaccinated), 3 (fully vaccinated),
	4 (vaccinated but efficacy waned)}
$r \in \mathcal{R}$	risk groups $\{low, high\}$
$i \in \mathcal{I}$	predefined alert stages $\{5 (red), 4 (orange), 3 (yellow), 2 (blue), 1 (green)\}$ governing transmission rates
$\omega\in\Omega$	set of simulated spread scenarios

#### Parameters

Epidemiological parameters:

$\beta$	unmitigated transmission rate
$\beta_v$	unmitigated (by NPIs) transmission rate for vaccine status $v$
σ	rate at which exposed individuals become infectious
au	proportion of exposed individuals who become symptomatic
$ au_v$	proportion of exposed individuals who become symptomatic for vaccine status $\boldsymbol{v}$

$ ho_A$	rate at which pre-asymptomatic individuals become asymptomatic
$ ho_Y$	rate at which pre-symptomatic individuals become symptomatic
$\gamma_A$	recovery rate from asymptomatic compartment
$\gamma_Y$	recovery rate from symptomatic compartment
$\gamma_H^a$	recovery rate from hospitalized compartment for age group $a$
$\gamma^a_{ICU}$	recovery rate from ICU compartment for age group a
P	proportion of pre-symptomatic transmission
$YHR^{a,r}$	percent of symptomatic infectious that go to the hospital for age-risk group $a, r$
$\eta_H$	hospitalization rate after symptom onset
$\omega_A \ \omega_P^{a,r}$	infectiousness of individuals in IA relative to IY $\frac{P}{1-P} \frac{\tau(YHR^{a,r}/\eta_H + (1-YHR^{a,r})/\gamma_Y) + (1-\tau)\omega_A/\gamma_A}{\tau/\rho_Y + (1-\tau)\omega_A/\rho_A}$ : infectiousness of pre-symptomatic individuals relative to IY for age-risk group a, r
$\pi^{a,r}$	$\frac{\gamma_Y \cdot Y H R^{a,r}}{[\eta_H - (\eta_H - \gamma_Y) Y H R^{a,r}]}$ : rate-adjusted proportion of symptomatic individuals who go to the hospital for age-risk group $a, r$
$p_{IH}$	percent of patients directly going to the general ward of the hospital
δ	percent of out-of-hospital deaths
HICUR	percent of general ward patients who get transferred to ICU
$\eta^a_{ICU}$	ICU admission rate after admission to the general ward for age group $a$
$ u_{H}^{a}$	$\frac{\gamma_{H}^{a} \cdot HICUR}{[\eta_{ICU}^{a} - (\eta_{ICU}^{a} - \gamma_{H}^{a})HICUR]}$ : rate-adjusted proportion of general ward patients transferred to ICU for age group <i>a</i>
$\mu^a$	rate from ICU to death for age group a
$ICUFR^{a}$ $ u^{a}_{ICU}$	percent of hospitalized that die for age group $a$ $\frac{\gamma_{ICU}^{a}ICUFR^{a}}{[\mu^{a}-(\mu^{a}-\gamma_{ICU}^{a})ICUFR^{a}]}$ : ICU fatality rate-adjusted proportion for age group $a$
$\phi_{i,t}^{a',r',a,r}$	expected number of daily contacts from $(a', r')$ to $(a, r)$ at time t under stage i
$N^{a,r}$	population of age-risk group $a, r$
$C_t$	vaccine supply at time t
Variables	
Epidemiologica	l variables (for scenario $\omega \in \Omega$ ):
$S_{t}^{a,r,v}$	number of susceptible people of age group $a$ , risk group $r$ , and vaccine status $v$ at time $t$ [persons]
$dS_{t,w}^{a,r,v}$	$S_{t,\omega}^{a,r,v} - S_{t+1,\omega}^{a,r,v}$ [persons]
$E_{t}^{a,r,v}$	number of exposed people of age group a, risk group r, and vaccine status v at time t [persons]
$PA_{t}^{a,r,v}$	number of pre-asymptomatic people for $a, r, v, t$ [persons]
$PY_{t,w}^{a,r,v}$	number of pre-symptomatic people for $a, r, v, t$ [persons]
$IA_{t\omega}^{a,r,v}$	number of infectious-asymptomatic people for $a, r, v, t$ [persons]
$IY_{t,w}^{a,r,v}$	number of infectious-symptomatic people for $a, r, v, t$ [persons]
$IH_{t,\omega}^{a,r,v}$	number of infected-hospitalized people in the general word for $a, r, v, t$ [persons]
$ICU_{t}^{a,r,v}$	number of infected-hospitalized people in the ICU for $a, r, v, t$ [persons]
$R_{t}^{a,r,v}$	number of recovered people for $a, r, v, t$ [persons]
$D_{t,\omega}^{a,r,v}$	number of deceased people for $a, r, v, t$ [persons]
$H_{t,\omega}$	daily hospital admissions, from infectious-symptomatic to the general ward and ICU.
ν,ω	at time t [persons/day]
$\overline{H}_{t}$	seven-day moving average of $H_{t,\alpha}$ [persons/day]
ι,ω U <sub>+</sub>	daily ICU admissions (from infectious-symptomatic and the general ward)
$\sim \iota, \omega$	at time t [nersons/day]
$V^{a,r,v^\prime,v}$	number of individuals transitioned between compartment due to changing vaccine status
<b>'</b> t	number of merviculas transitioned between compartment due to changing vaceme status

from group v' to group v at time t for a, r [persons]

Indicator variables:

 $X_{i,t,\omega}$  1 if the system is in alert stage *i* at time *t* for scenario  $\omega$ ; 0 otherwise

We refer to Table 7 for further details on model parameters. We first define the epidemiological transition dynamics in the following equations for all  $\omega \in \Omega$ . These dynamics largely follow the formulation used in [9] with the addition of three compartments to improve model fidelity and to distinguish beds in the ICU and general ward. The initial conditions specify a single infectious individual in the 18-49 age group with low risk. The age-risk groups are initialized with the rest of the population in their respective susceptible compartments. Eqs. [1a]-[1m] below then provide a sample path, indexed by  $\omega$ , for the progression of the disease in the community. For the moment, the indicator variables  $X_{i,t,\omega} \in \{0,1\}$  are taken as input, and select the current stage and, in turn, the expected number of daily contacts via  $\phi_{i,t}^{a',r',a,r}$ . The contact matrices are indexed by t because they capture whether school is currently open and if so, the school calendar; they further capture weekdays versus weekends and the level of cocooning, which can vary with time; and they capture contacts at school, home, work, and another catch-all category. We assume that sufficient precautions are taken in hospitals so that hospitalized cases do *not* contribute to infecting others via Eq. [1m]. However, we assume an infected vaccinated individual can infect the unvaccinated as much as an infected unvaccinated individual. The most significant updates of the model from that in [9] and [10] are in additional compartments. We use constructs similar to He et al. [11] for a pre-symptomatic period to more accurately model the profile of infectiousness of individuals by including pre-symptom onset transmission. We also model the ICU compartment explicitly for two reasons. First, patients in the ICU have different durations in the hospital than those in the general ward, and second it allows us to account for ICU capacity as a resource. We let  $p_{IH}$  denote the probability a hospitalized patient is admitted to a general ward bed and the remaining fraction go directly to the ICU. As Fig. 6 and Eq. [1h] indicate, it is possible to transfer general ward patients to the ICU later if needed. In order to better estimate the recorded deaths for possible vaccination scenarios, we consider in-hospital and out-of-hospital deaths. As Fig. 6 and Eq. [11] indicate, deaths are recorded either from the ICU (in-hospital) or from the symptomatic individuals that are not hospitalized (out-of-hospital).

For simplicity, we write the finite-difference Eqs. [1] in a deterministic form. They become stochastic, and require indexing by  $\omega$ , because binomial random variables replace terms like  $\sigma E_{t,\omega}^{a,r,v}$ ; here the binomial random variable has parameter  $n = E_{t,\omega}^{a,r,v}$  and  $\sigma$  serves as the "success" probability. This construct is pervasive throughout right-hand side terms in Eqs. [1]. In addition to these "micro" stochastics there are "macro" stochastics because we model  $\sigma$ ,  $\omega_A$ ,  $\gamma_A$ , and  $\gamma_Y$  as random variables that are subject to a Monte Carlo draw at time 0 of the simulation.

The following equations hold for all  $\forall t \in \mathcal{T}_0, a \in \mathcal{A}, r \in \mathcal{R}, v \in \mathcal{V}$ :

(

$$S_{t+1,\omega}^{a,r,v} - S_{t,\omega}^{a,r,v} = -dS_{t,\omega}^{a,r,v}$$
[1a]

$$E_{t+1,\omega}^{a,r,v} - E_{t,\omega}^{a,r,v} = dS_{t,\omega}^{a,r,v} - \sigma E_{t,\omega}^{a,r,v}$$
[1b]

$$PA_{t+1,\omega}^{a,r,v} - PA_{t,\omega}^{a,r,v} = \sigma(1-\tau_v)E_{t,\omega}^{a,r,v} - \rho_A PA_{t,\omega}^{a,r,v}$$
[1c]

$$IA_{t+1,\omega}^{a,r,v} - IA_{t,\omega}^{a,r,v} = \rho_A P A_{t,\omega}^{a,r,v} - \gamma_A I A_{t,\omega}^{a,r,v}$$

$$[1d]$$

$$PY_{t+1,\omega}^{a,r,v} - PY_{t,\omega}^{a,r,v} = \sigma\tau_v E_{t,\omega}^{a,r,v} - \rho_Y PY_{t,\omega}^{a,r,v}$$

$$[1e]$$

$$IY_{t+1,\omega}^{a,r,v} - IY_{t,\omega}^{a,r,v} = \rho_Y PY_{t,\omega}^{a,r,v} - (1 - \pi^{a,r})\gamma_Y IY_{t,\omega}^{a,r,v} - \pi^{a,r}\eta_H IY_{t,\omega}^{a,r,v}$$
[1f]

$$IH_{t+1,\omega}^{a,r,v} - IH_{t,\omega}^{a,r,v} = p_{IH}\pi^{a,r}\eta_H IY_{t,\omega}^{a,r,v} - (1-\nu_H^a)\gamma_H^a IH_{t,\omega}^{a,r,v} - \nu_H^a \eta_{ICU}^a IH_{t,\omega}^{a,r,v}$$
[1g]

$$ICU_{t+1,\omega}^{a,r,v} - ICU_{t,\omega}^{a,r,v} = (1 - p_{IH})\pi^{a,r}\eta_H IY_{t,\omega}^{a,r,v} + \nu_H^a \eta_{ICU}^a IH_{t,\omega}^{a,r,v} -$$
[1h]

$$1 - \nu_{ICU}^{a})\gamma_{ICU}^{a}ICU_{t,\omega}^{a,r,v} - \nu_{ICU}^{a}\mu^{a}ICU_{t,\omega}^{a,r,v}$$
<sup>[1i]</sup>

$$R_{t+1,\omega}^{a,r,v} - R_{t,\omega}^{a,r,v} = \gamma_A I A_{t,\omega}^{a,r,v} + (1 - \pi^{a,r}) \gamma_Y \delta I Y_{t,\omega}^{a,r,v} + (1 - \nu_H^a) \gamma_H^a I H_{t,\omega}^{a,r,v} +$$
[1j]

$$(1 - \nu_{ICU}^a)\gamma_{ICU}^a ICU_{t,\omega}^{a,r,v}$$
[1k]

$$D_{t+1,\omega}^{a,r,v} - D_{t,\omega}^{a,r,v} = \nu_{ICU}^{a} \mu^{a} ICU_{t,\omega}^{a,r,v} + (1 - \pi^{a,r})\gamma_{Y}(1 - \delta)IY_{t,\omega}^{a,r,v}$$
[11]

$$dS_{t,\omega}^{a,r,v} = S_{t,\omega}^{a,r,v} \sum_{a' \in \mathcal{A}} \sum_{r' \in \mathcal{R}} \sum_{v' \in \mathcal{V}} \sum_{i \in \mathcal{I}} \frac{\beta_v \phi_{i,t}^{a', v', v, t'} X_{i,t,\omega}}{N^{a',r'}} \left( IY_t^{a',r',v'} + \omega_A IA_t^{a',r',v'} + \omega_P^{a',r',v'} + \omega_P^{a',r',v'} \right).$$

$$[1m]$$

The initial conditions have all variables indexed by t = 0 as zero except the following:

$$IY_{0,\omega}^{18-49,low} = 1, S_{0,\omega}^{18-49,low} = N^{18-49,low} - 1, \text{ and } S_{0,\omega}^{a,r} = N_{a,r} \forall (a,r) \in \mathcal{A} \times \mathcal{R} \setminus \{(18-49,low)\}.$$
 [2]



Figure 7: **Compartmental model of COVID-19 transmission in the Austin MSA.** The model from Figure 6 is replicated for each of the four vaccine layers: unvaccinated, partially vaccinated, fully vaccinated and vaccinated but efficacy waned. Individuals transition from one layer to the next based on historical data and based on projections, while accounting for different age-risk categories

We assume vaccine can be administered to susceptible, exposed, infected or recovered individuals but it will have an effect only on susceptible individuals. Vaccines provide protection 14 days after vaccination, second doses are administered 21 days after the first dose and vaccine efficacy wanes 250 days after first dose. Individuals who received a booster shot transition back to fully vaccinated compartment. Eq. [3] captures these vaccination dynamics:

$$S_{t,\omega}^{a,r,v} \leftarrow S_{t,\omega}^{a,r,v} - \sum_{v' \in \mathcal{V}} \frac{S_{t,\omega}^{a,r,v}}{N_{t,\omega}^{a,r,v}} Y_t^{a,r,v,v'} + \sum_{v' \in \mathcal{V}} \frac{S_{t,\omega}^{a,r,v'}}{N_{t,\omega}^{a,r,v}} Y_t^{a,r,v',v} \qquad \forall t \in \mathcal{T}_0, a \in \mathcal{A}, r \in \mathcal{R}$$

$$[3]$$

## **D** Model Parameters

Table 2 partitions the population of the Austin MSA based on age groups (0-4 years old, 5-17 years old, 18-49 years old, 50-64 years old, and 65 years and older) and risk groups (low risk and high risk). The high-risk group proportions are estimated based on the population with chronic conditions listed by the CDC 500 cities data [12]. Population data processing is detailed in the appendix of [9] and here we present only the final numbers used for this paper's analysis.

$N^{a,r}$	0-4	5-17	18-49	50-64	65 and older
Low risk	128527	327148	915894	249273	132505
High risk	9350	37451	156209	108196	103763

Table 2: Austin age-risk group populations.

We define four baseline contact matrices,  $\mathcal{H}, \mathcal{S}, \mathcal{W}$ , and  $\mathcal{O}$ , to describe the contact frequency between age groups at home, at school, at work, and at other locations. These *baseline* matrices assume there is no difference in contacts among the low- and high-risk groups. Each row and column represents an age group, in the order of 0-4 years old, 5-17 years old, 18-49 years old, 50-64 years old, and 65 years old and above, with the row-column value corresponding to a "from-to" transmission contact:

	0.5	0.9	2.0	0.1	0.0		[1.0	0.5	0.4	0.1	0.0
	0.2	1.7	1.9	0.2	0.0		0.2	3.7	0.9	0.1	0.0
$\mathcal{H} =$	0.2	0.9	1.7	0.2	0.0	$\mathcal{S} =$	0.0	0.7	0.8	0.0	0.0
	0.2	0.7	1.2	1.0	0.1		0.1	0.8	0.5	0.1	0.0
	0.1	0.7	1.0	0.3	0.6		0.0	0.0	0.1	0.0	0.0
	Γ0.0	0.0	0.0	0.0	0.0]		F0.7	0.7	1.8	0.6	0.3]
	0.0	0.1	0.4	0.0	0.0		0.2	2.6	2.1	0.4	0.2
$\mathcal{W} =$	0.0	0.2	4.5	0.8	0.0	$\mathcal{O} =$	0.1	0.7	3.3	0.6	0.2
	0.0	0.1	2.8	0.9	0.0		0.1	0.3	2.2	1.1	0.4
	0.0	0.0	0.1	0.0	0.0		0.0	0.2	1.3	0.8	0.6

The contact matrices  $\phi_{i,t}^{a',r',a,r}$  are calculated in the same way as Table S6 in [9], considering the effect of weekends, holidays, school closures, and social distancing and cocooning of high-risk populations based on the risk stage. Stages correspond to distancing stages of different strictness, which govern the reduced number of daily contacts people make relative to baseline. In our model, this is reflected by a coefficient  $\kappa_i, i \in \mathcal{I}$ , where  $\kappa_i = 0.75$  would reduce the expected number of contacts to 25% of the baseline value. For the age group of 65 years and older and for the high-risk group, we use reductions based on cocooning, which are represented by coefficients  $c_i, i \in \mathcal{I}$ :

$$\phi_{i,t}^{a',r',a,r} = \begin{cases} (1 - \kappa_i) \left[ (1 - 1_{\{\text{off day}\}}) \cdot (1 - 1_{\{\text{school closure}\}}) \cdot \mathcal{S}_{a',a} + & \text{if } a', a \in \{0\text{-4yr}, 5\text{-17yr}, 18\text{-49yr}, 50\text{-64yr}\}, \\ (1 - 1_{\{\text{off day}\}}) \cdot \mathcal{W}_{a',a} + \mathcal{H}_{a',a} + \mathcal{O}_{a',a} \right] & r', r \neq \text{high-risk} \\ (1 - c_i) \left[ (1 - 1_{\{\text{off day}\}}) \cdot (1 - 1_{\{\text{school closure}\}}) \cdot \mathcal{S}_{a',a} + \\ (1 - 1_{\{\text{off day}\}}) \cdot \mathcal{W}_{a',a} + \mathcal{H}_{a',a} + \mathcal{O}_{a',a} \right] & \text{otherwise.} \end{cases}$$
[4]

The indicator  $1_{\{\text{off day}\}}$  takes value 1 if the day is a weekend or holiday and is otherwise 0, and a similar indicator accounts for school closures. When a high-risk group, along with those 65 years and older, is involved either on the "giving" or "receiving" end of a contact, Eq. [4] assumes reduced transmission via the cocooning coefficient,  $c_i$ .

The following are key dates during the pandemic in Texas, and some define time blocks, which we use in estimating time-varying transmission reduction factors and other key model parameters as we describe shortly:

• February 28, 2020: Seed date for simulation of Austin, assuming seeding by a single symptomatic individual age 18-49 yo. This corresponds to 14 days prior to the first detected COVID-19 case in Austin on March 13, 2020.

- March 24, 2020: Austin's Stay Home-Work Safe Order is enacted at midnight [13].
- May 1, 2020: The Governor of Texas relaxed social distancing orders statewide [14].
- May 21, 2020: Just prior to Memorial Day Weekend.
- June 26, 2020: The Governor of Texas issued an executive order limiting service at bars and restaurants, and Travis County (which includes Austin) banned gatherings of more than 100 people [15, 16].
- July 17, 2020: Time point in hospitalization data suggesting a change in dynamics.
- August 20, 2020: First day students returned to residence halls at the University of Texas at Austin.
- October 29, 2020: Apparent COVID-19 fatigue leads to rise in cases
- November 29, 2020: Right after Thanksgiving holiday.
- December 30, 2020: Right before Christmas break end.
- January 10, 2021: The initial day of vaccination in the model.
- March 13, 2021: Austin moved down to alert stage 3.
- May 18, 2021: Austin moved down to alert stage 2.
- July 23, 2021: Delta variant has became the dominant virus type, Austin increased restrictions to stage 4.
- August 5, 2021: Austin increased restrictions to alert stage 5.
- October 25, 2021: The last day of observed data used in estimating model parameters and vaccine allocations.

We assume that there are fourteen time blocks denoted by  $\mathcal{T}_j$  for  $j \in \{1, ..., 15\}$  as defined in Table 3. They guide fitting of transmission-reduction parameters,  $\kappa$  and c, and certain dynamics in use of the ICU and hospital duration, as detailed below.

Time Block	Start Date	End Date	Definition
$\mathcal{T}_1$	2/28/20	3/23/20	unmitigated transmission before first stay-home order
$\mathcal{T}_2$	3/24/20	5/20/20	effective period for first stay-home order
$\mathcal{T}_3$	5/21/20	6/25/20	relaxed period starting with Memorial Day weekend
$\mathcal{T}_4$	6/26/20	7/16/20	period of effective social distancing
$\mathcal{T}_5$	7/17/20	8/19/20	period distinguished by changes in ICU dynamics
$\mathcal{T}_6$	8/20/20	10/28/20	period of effective social distancing
$\mathcal{T}_7$	10/29/20	11/29/20	period of effective social distancing
$\mathcal{T}_8$	11/30/20	12/30/20	period of effective social distancing
$\mathcal{T}_9$	12/31/20	01/11/21	period of effective social distancing
$\mathcal{T}_{10}$	01/12/21	03/12/21	period of effective social distancing and vaccination
$\mathcal{T}_{11}$	03/13/21	06/19/21	period of effective social distancing and vaccination
$\mathcal{T}_{12}$	06/20/21	07/30/21	period of less effective social distancing; vaccinations continue
$\mathcal{T}_{13}$	07/31/21	8/21/21	Delta variant has become dominant variant (past this end date)
$\mathcal{T}_{14}$	08/22/21	09/24/21	period of effective social distancing and vaccination
$\mathcal{T}_{15}$	09/24/21	10/25/21	period of effective social distancing and vaccination

Table 3: The time blocks,  $\mathcal{T}_1$ ,  $\mathcal{T}_2$ , ...,  $\mathcal{T}_{14}$ , and  $\mathcal{T}_{15}$  correspond to different rates of spread, as estimated using transmission-reduction factors  $\kappa$  and c. The fourth and fifth time blocks,  $\mathcal{T}_4$  and  $\mathcal{T}_5$ , differ only in dynamics involving the ICU, both the admission probability and the sojourn time in the general ward prior to ICU admission.

We model the hospitalization dynamics, including proportions of hospitalized requiring the ICU, durations in the general ward and ICU, and ICU mortality rate using data from a multi-facility hospital system serving the central Texas region, including Austin, Texas ("hospital system data"). While we model differences based on five age groups, we assume the same hospital dynamics in different hospital systems after a patient is admitted across Austin due to similar medical standards. Conditional on being admitted to the hospital, we observe a decreasing trend in the probability a patient is admitted to the ICU throughout the time horizon, which holds for both direct admissions to the ICU and patients who are first admitted to the general ward. Among patients who enter the general ward and are then admitted to the ICU, their duration of stay in the general ward, determined by  $\eta_{ICU}$ , grows over time. For each time block,  $\mathcal{T}_j$ , we assume a constant  $\eta_{ICU,j}$  and further assume a constant daily decrease,  $r_j$ , on both of the fractions,  $p_{IH}$  and HICUR:

$$p_{IH,t+1} = r_j p_{IH,t} \qquad \forall j \in \{1, \dots, 15\}, t \in \mathcal{T}_j$$

$$[5a]$$

$$HICUR_{t+1} = r_j HICUR_t \qquad \forall j \in \{1, \dots, 15\}, t \in \mathcal{T}_j,$$

$$[5b]$$

along with a similar decrement across boundaries of the blocks. We use duration times for each time block from the hospital system data to estimate  $\eta^a_{ICU,j}$  and fit  $r_j$ , with the estimated parameters in Table 4.

	age group	$\mathcal{T}_1$	$\mathcal{T}_2$	$\mathcal{T}_3$	$\mathcal{T}_4$	$\mathcal{T}_5 \cup \cdots \cup \mathcal{T}_{15}$
$\eta^a_{ICU,j}$	0-4 yr	0.5882	0.5882	0.3885	0.2640	0.2589
	5-17 yr	0.5882	0.5882	0.3885	0.2640	0.2589
	18-49 yr	0.5882	0.5882	0.3885	0.2640	0.2589
	50-64 yr	0.6273	0.6273	0.4143	0.2815	0.2761
	$\geq$ 65 yr	0.6478	0.6478	0.4278	0.2907	0.2851
$r_j$		0.9973	0.9973	0.9932	0.9921	1

Table 4: Estimates of ICU admission probability parameters,  $\eta_{ICU}$ ,  $p_{IH}$ , and HICUR; see Fig. 6 and accompanying parameter definitions. For each age group, a, and each time block, j, we specify  $\eta_{ICU}$ , and we give the daily decrement factor,  $r_j$ , used in Eq. [5].

Using the hospital system data, and consistent with the transition diagram in Fig. 6, we define the ICU duration for a patient as the time between their admission to the ICU and their discharge from the hospital. The reality is more complex as ICU patients typically return to the general ward prior to discharge from the hospital, and iterations between the two units, driven by a patient's health status, can also occur. Therefore, the reported duration in the ICU leads to over estimating ICU utilization and under-estimating that of the general ward. To handle this in our model, we introduce three constant parameters,  $\alpha_{ICU}$ ,  $\alpha_H$  and  $\alpha_D$ , to better estimate durations in the ICU and general ward and ICU mortality rate and better represent their respective utilization:

$$\gamma_H = (1 - \alpha_H)\gamma_H^0$$
  

$$\gamma_{ICU} = (1 + \alpha_{ICU})\gamma_{ICU}^0$$
  

$$\mu = (1 + \alpha_D)\mu^0,$$

where  $\gamma_{H}^{0}$ ,  $\gamma_{ICU}^{0}$ , and  $\mu^{0}$  are obtained from the hospital system data, with each row corresponding to an age group in ascending order:

$$\gamma_{H}^{0} = \begin{bmatrix} 0.2399\\ 0.2399\\ 0.2399\\ 0.2222\\ 0.2124 \end{bmatrix}, \ \gamma_{ICU}^{0} = \begin{bmatrix} 0.0700\\ 0.0700\\ 0.0700\\ 0.0575\\ 0.0518 \end{bmatrix}, \ \mu^{0} = \begin{bmatrix} 0.0749\\ 0.0749\\ 0.0749\\ 0.0766\\ 0.0799 \end{bmatrix},$$

with units of  $day^{-1}$ .

The bulk of the epidemiological and hospitalization parameters are specified above or are detailed in Tables 7 and 8, with the latter obtained from the literature or information collected from local healthcare agencies. The time blocks are specified in Table 3. Given these, we estimate 33 parameters, but with 18 degrees of freedom, as we detail below. We perform the fit of the deterministic SEIR model in Eqs. [1] using: (i) daily COVID-19 admissions, denoted  $H_t$ ; (ii) a daily COVID census in the general ward,  $IH_t$ ; (iii) a daily COVID census in the ICU,  $ICU_t$ ; (iv) daily COVID-19 in-hospital deaths,  $D_t^H$ ; and (v) daily COVID-19 out-of-hospital deaths obtained from [17],  $D_t^{OH}$ , all on day t. By minimizing a weighted sum of least-square errors, we estimate  $\hat{\kappa}_j$  and  $\hat{c}_j$ , j = 1, 2, ..., 15,  $\alpha_H$ ,  $\alpha_{ICU}$ ,  $\alpha_D$ and  $\delta$ , using SciPy/Python [18] via scipy.optimize.least\_squares.

We minimize

$$\sum_{t} (IH_t - \widehat{IH}_t)^2 + w_{ICU}^2 \sum_{t} (ICU_t - \widehat{ICU}_t)^2 + w_H^2 \sum_{t} (H_t - \widehat{H}_t)^2 + w_D^2 \sum_{t} (D_t^H - \widehat{D}_t^H)^2 + w_D^2 \sum_{t} (D_t^{OH} - \widehat{D}_t^{OH})^2,$$

where  $\widehat{IH}_t$ ,  $\widehat{ICU}_t$ ,  $\widehat{H}_t$ ,  $\widehat{D}_t^H$ , and  $\widehat{D}_t^{OH}$  denote the estimated  $IH_t$ ,  $ICU_t$ ,  $H_t$ ,  $D_t^H$ , and  $D_t^{OH}$  obtained through Eqs. [1];  $w_{ICU}$ ,  $w_H$ , and  $w_D$  are scaling constants; and the sum is over  $t \in \mathcal{T}_1 \cup \cdots \cup \mathcal{T}_{15}$ . We assume  $w_{ICU} = 1.50$ ,  $w_H = 7.58$ , and  $w_D = 10w_H$ , as those values approximate magnitudes relative to that of the general ward. To obtain a parsimonious model, we use  $\hat{c}_1 = 0$ ,  $\hat{c}_2 = \hat{c}_3 = \hat{\kappa}_2$ ,  $\hat{c}_4 = \hat{c}_5 = \hat{\kappa}_4 = \hat{\kappa}_5$ ,  $\hat{c}_6 = \hat{c}_8 = \hat{\kappa}_4$ ,  $\hat{c}_7 = \hat{\kappa}_2$ ,  $\hat{c}_9 = \hat{\kappa}_9$ , and  $\hat{c}_t = \hat{\kappa}_t$  for  $t \in \{10, \dots, 15\}$  which reduces the number of estimated parameters from 33 to 18.

We use the trust region reflective algorithm (trf) in scipy.optimize.least\_squares, with lower and upper bounds on each parameter of 0 and 1, respectively. The algorithm obtains locally optimal values of the parameters, the quality of which has been validated by comparing projections with the observed data. All the remaining parameters are set to their default values (see above and Tables 7 and 8). The fitted values for  $\hat{\kappa}_j$  and  $\hat{c}_j$  and  $\alpha_H$ ,  $\alpha_{ICU}$  and  $\alpha_D$  are given in Table 5.

	Austin	
j	$\hat{\kappa}_j$	$\hat{c}_j$
1	0.0523	0.0000
2	0.7878	0.7878
3	0.6420	0.7878
4	0.8270	0.8270
5	0.8270	0.8270
6	0.7783	0.8270
7	0.7530	0.7878
8	0.6743	0.8270
9	0.8015	0.8015
10	0.8111	0.8111
11	0.6849	0.6849
12	0.5551	0.5551
13	0.6446	0.6446
14	0.6869	0.6869
	(pre-delta)	(delta)
$\alpha_H$	0.2565	0.2961
$\alpha_{ICU}$	0.2662	0.0738
$\alpha_D$	3.5119	1.8
$\alpha_{IYD}$	0.0037	0.0030

Table 5: Fitted transmission reduction parameters,  $\hat{\kappa}_j$ , and cocooning effectiveness parameters,  $\hat{c}_j$ , for each time block  $\mathcal{T}_j$ , along with estimated hospitalization duration adjustment parameters,  $\alpha_H$ ,  $\alpha_{ICU}$ , and  $\alpha_D$  and the percent of out-of-hospital death  $\alpha_{IYD}$ .

Stages	Example measures	Transmission reduction	Cocooning
red	shelter-in-place order: mask mandate,	largest (83.05%)	83.05%
	no public activities, gatherings, or travel		
orange	mask mandate, no indoor dining,	moderate (73.3%)	73.3%
	no medium or large gatherings		
yellow	mask mandate, partial limitations on	modest (63.62%)	63.62%
	indoor dining and bars, no large gatherings		
blue	new normal: avoid large gatherings,	low (53.9%)	53.9%
	masks and physical distancing recommended		
green	no restrictions	no reduction (0%)	0%

Table 6: Structure and impact of five-stage COVID-19 alert system. Colors indicate stages. For each stage, the table provides example measures, which may evolve with future data on the impact of mitigation strategies and roll-out of surveillance testing. The model assumes high risk sub-populations are sheltered to a greater degree, described as cocooning. Transmission reduction estimates and cocooning numbers are derived from COVID-19 hospital admissions data from the Austin, Texas MSA during a period that included a stay-home order, a re-opening phase that led to an early summer surge, followed by reduced transmission with the implementation of face-mask requirements and reinstatement of other distancing measures.

Parameters	Values	Source
$\beta$ : transmission rate (pre-Delta)	Austin: 0.06901	[9]
$\beta$ : transmission rate (Delta)	Austin: 0.11387	[6], [9]
<i>P</i> : proportion of pre-symptomatic transmission (%)	44	[11]
$\omega_A$ : infectiousness of individuals in compartment <i>IA</i> , relative to <i>IY</i>	$\omega_A \sim$ Triangular (0.29,0.29,1.4)	[19]
$\tau$ : symptomatic proportion (%)	57	[20]
$\omega_P$ : infectiousness of individu- als in pre-symptomatic and pre- asymptomatic compartments, rela- tive to symptomatic and asymp- tomatic compartments		
$\sigma$ : exposed rate (pre-Delta)	$\frac{1}{\sigma}$ ~ Triangular (1.9, 2.9, 3.9)	Based on incubation [21] and pre- symptomatic periods
$\sigma$ : exposed rate (Delta)	$\frac{1}{\sigma} \sim \text{Triangular} (0.4, 1.4, 2.4)$	[7], [21]
$\gamma_A$ : recovery rate from compart- ment $IA$	$\frac{1}{\gamma_A} \sim \text{Triangular} (3, 4, 5)$	[11]
$\gamma_Y$ : recovery rate from symptomatic compartment $IY$	$\frac{1}{\gamma_Y} \sim \text{Triangular} (3, 4, 5)$	[11]
$ \rho_A $ : rate at which pre-asymptomatic individuals become asymptomatic	Equal to $\rho_Y$	[11]
$\rho_Y$ : rate at which pre-symptomatic individuals become symptomatic	$\frac{1}{\rho_Y} = 2.3$	[11]
<i>IFR</i> : infected fatality ratio, age specific (%)	Low risk         High risk           0.000917         0.00917           0.00218         0.0218           0.0339         0.339           0.252         2.52           0.644         6.44	Age adjusted from [22]
<i>YFR</i> : symptomatic fatality ratio, age specific (%)	Low risk         High risk           0.00161         0.0161           0.00382         0.0382           0.0594         0.594           0.442         4.42           1.13         11.3	$YFR = \frac{IFR}{1-\tau}$

Table 7: Model parameters

Parameters	Value	Source
$\eta_H$ : rate from symptom onset to hospital admission	0.1695	5.9 day average from symptom on- set to hospital admission [23]
<i>YHR</i> : symptomatic case hospital- ization rate (%) (pre-Delta)	Low risk         High risk           0.0279         0.2791           0.0215         0.2146           1.3215         13.2514           2.8563         28.5634           3.3873         33.8730	Age adjusted from [22]
<i>YHR</i> : symptomatic case hospital- ization rate (%) (Delta)	Low risk         High risk           0.0502         0.5024           0.0387         0.3863           2.3787         23.8525           5.1413         51.4141           6.0971         60.9714	[8], [22]
$p_{IH}$	Fitted time series, starting at 0.6717	hospital system data
$\gamma_H, \gamma_{ICU}$ : recovery rate in compartment $IH$ and $ICU$	Fitted parameters	hospital system data
$\pi$ : rate symptomatic individuals go to hospital, age-specific	$\pi = \frac{\gamma_Y \cdot YHR}{\eta_H + (\gamma_Y - \eta_H)YHR}$	
$\eta_{ICU}$ : rate from hospital admission to ICU	A time series which is constant spe- cific to time blocks	hospital system data
$\mu$ : rate from ICU to death	Fitted parameters	hospital system data
<i>ICUFR</i> : ICU death ratio, age specific (%)	ICUFR           5.8592           5.8592           5.8592           5.8592           15.6207           30.8526	hospital system data
HICUR: hospitalized ICU ratio	A time series with a decreasing rate specific to time blocks, starting at 0.1574	hospital system data
$\nu_H$ : ICU rate on hospitalized indi- viduals, age-specific	$\nu_H = \frac{\gamma_H * HICUR}{\eta_{ICU} + (\gamma_H - \eta_{ICU})HICUR}$	
$\nu_{ICU}$ : death rate on ICU individuals, age-specifc	$\nu_{ICU} = \frac{\gamma_{ICU} * ICUFR}{\mu + (\gamma_{ICU} - \mu)ICUFR}$	
<i>B</i> : Total hospital bed capacity (in- cluding ICU)	Austin: 1500	Estimates provided by each of the region's hospital systems and aggre- gated by regional public health lead- ers
$B_{ICU}$ : ICU capacity	Austin: 331	Estimates provided by each of the region's hospital systems and aggre- gated by regional public health lead- ers
$1_{\{\text{school closure}\}}$ : school closure dates	Austin: 3/19/2020 – 9/8/2020, 5/26/2021 – 8/23/2021	

Table 8: Hospitalization parameters

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